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# Formation of a strong southward IMF near the solar maximum of cycle 23

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**Abstract.** We analyzed observations of the solar activities and the solar wind parameters associated with large geomagnetic storms near the maximum of solar cycle 23. This analysis showed that strong southward interplanetary magnetic fields (IMFs), formed through interaction between an interplanetary disturbance, and background solar wind or between interplanetary disturbances are an important factor in the occurrence of intense geomagnetic storms. Based on our analysis, we seek to improve our understanding of the physical processes in which large negative  $B_z$ 's are created which will lead to improving predictions of space weather.

**Key words.** Interplanetary physics (Flare and stream dynamics; Interplanetary magnetic fields; Interplanetary shocks)

## 1 Introduction

According to Tsurutani and Gonzalez (1997 and references therein), interplanetary events with interplanetary magnetic fields (IMFs),  $B_z$ , of less than  $-10$  nT and a duration longer than three hours, tend to cause intense geomagnetic storms. Strong southward IMFs are an important factor in the occurrence of geomagnetic storms. Tsurutani et al. (1988) have made a study of the causes of the southward  $B_z$  component responsible for major geomagnetic storms near the previous solar maximum (1978–1979). From their analysis of 10 cases they concluded that the negative  $B_z$  was caused by internal fields of ejecta for only four cases. For others there were various causes such as sheath field ahead of ejecta or shock intensification of pre-existing IMF. McComas et al. (1989) studied  $B_z$  perturbations induced by a draped magnetic field ahead of fast coronal mass ejections (CMEs). Wu, Dryer, and Wu (1996) and Wu et al. (1996) suggested the formation of  $B_z$  caused by interplanetary shocks using three-dimensional MHD simulation. On the other hand, Marubashi

(1997 and references therein) noted the importance of interplanetary magnetic flux ropes as a source of geomagnetic storms. Cane, Richardson, and St. Cyr (2000) studied all halo/partial, halo coronal mass ejections (CMEs) detected by the LASCO coronagraphs on board the SOHO spacecraft during 1996–1999 and compared them to geomagnetic storms. They noted that the southward IMF associated with ejecta determined mainly their geo-effectiveness, regardless of whether ejecta was a magnetic cloud structure or not.

We studied the interplanetary disturbances with strong southward IMF near the maximum of solar cycle 23. Almost continuous observations of solar wind and solar activities from space near the maximum of solar cycle 23 enabled us to study the relation between solar activities and strong southward IMF. Our analysis is complementary to the study made by Tsurutani et al. (1988) for the previous solar cycle. However, our study includes more cases.

## 2 Data sources

We analyzed geomagnetic storms with  $D_{st}$  indices of less than  $-100$  nT between 1998 and 2000. There were 30 such cases which satisfied this criterion. In our analysis, hourly averaged solar wind parameters (magnetic field, speed, density, and temperature) observed by the ACE spacecraft were used to study the cause of strong southward IMFs associated with the geomagnetic storms. The solar observations of the SOHO and Yohkoh spacecrafts were used to identify the solar sources of the geomagnetic storms.

Table 1 lists the starting dates of magnetic storms, types of storms, minimum values of  $D_{st}$  index and minimum values of  $B_z$  during the storms, the causes of strong southward IMFs, solar sources of the geomagnetic storms, occurrence dates of the solar sources, and transit speeds of the interplanetary disturbances.

**Table 1.** List of geomagnetic storms of  $D_{st}$  index less than  $-100$  nT (SC: Sudden Commencement of a geomagnetic storm, SG: Gradual commencement of a geomagnetic storm, CIR: Corotating Interaction Region, CME: Coronal Mass Ejection, DSF: Disappearing Solar Filament).

no.	start date of geomagnetic storm	“type of storm”	min. $D_{st}$ (nT)	min. $B_z$ (nT)	cause of southwards $B_z$	solar source	occurrence date solar source	average speed (km/s)
1	1998/02/17 13:00	SG	-100	-13.0	flux rope	partial halo CME	1998/02/14 07:00	534
2	1998/03/10 00:00	SG	-116	-13.7	CIR	coronal hole		
3	1998/05/01 21:55	SC	-205	-22.9	(flux rope)+shock	halo CME (S18E20), cusp	1998/04/29 16:58	787
4	1998/06/25 16:36	SC	-101	-13.5	flux rope+shock	(DSF, south-west), arcade	1998/06/22 17:57	590
5	1998/08/06 07:35	SC	-138	-17.6	(weak shock)	arcade (south-west), (SOHO vacation)	1998/08/03 00:00	524
6	1998/08/26 06:50	SC	-155	-11.5	sheath+(flux rope)	X1.0/3B (N35E09), proton-event, (SOHO vacation)	1998/08/24 21:50	1263
7	1998/09/24 23:45	SC	-207	-17.3	sheath+(flux rope)	M6.9/3B (N18E09), proton-event, (SOHO vacation)	1998/09/23 06:40	1014
8	1998/10/18 19:54	SC	-112	-22.8	flux rope	halo CME, DSF (N19E10)	1998/10/15 10:04	509
9	1998/11/07 08:15	SC	-149	-11.7	(shock)+flux ropes			
10	1998/11/08 04:00			-13.9		halo CME, M8.4/2B (N22W18)	1998/11/05 19:00	731
11	1998/11/13 01:00	SG	-131	-17.6	flux rope	partial-halo CME, DSF (north-east)	1998/11/09 17:54	527
12	1999/01/13 10:54	SC	-113	-15.7	CIR	coronal hole		
13	1999/02/18 02:46	SC	-134	-24.4	sheath+(flux rope)	M3.2/SF (S23W41), (SOHO data gap)	1999/02/16 02:49	869
14	1999/04/16 11:24	SC	-105	-15.2	flux rope	partial-halo CME, EIT wave (south-center)	1999/04/13 03:30	521
15	1999/09/22 11:45	SG	-164	-19.2	ejecta	halo CME (south-east)	1999/09/20 06:06	777
16	1999/10/21 15:54	SG	-231	-28.6	(flux rope)+CIR	halo CME	1999/10/18 00:26	476
17	1999/11/12 19:00	SG	-100	-11.2	ejecta (flux rope)	M1.5/1N (N17W21), (SOHO data gap)	1999/11/10 15:44	813
18	2000/02/11 02:14			-14.7		halo CME, M1.3/1B (N25E26), cusp, EIT wave	2000/02/08 08:42	636
	2000/02/11 23:52	SC	-169		shock	halo CME, C7.4/2F (S17W40)	2000/02/09 19:26	795
19	2000/04/06 16:31	SC	-321	-22.9	sheath	halo CME, DSF, C9.7/2F (N16W66), proton-event	2000/04/04 15:11	845
20	2000/05/23 14:00	SG	-147	-22.9	shock(ejecta)	partial halo CME, C7.6/1N (S15W08)	2000/05/20 05:26	517
21	2000/07/15 14:38	SC	-300	-42.8	(sheath)+flux rope	halo CME, X5.7/3B (N22W07), proton-event	2000/07/14 10:03	1458
22	2000/08/10 04:00	SG	-103	-13.0	flux rope			
23	2000/08/11 18:45	SC	-237	-25.8	flux rope	halo CME, arcade	2000/08/09 16:30	829
24	2000/09/17 14:24	SG	-172	-21.3	sheath+(flux rope)	halo CME, M2.0/1N (N12E07)	2000/09/15 14:29	870
25	2000/10/04 07:00	SG	-192	-21.4	flux rope+shock	X1.2/SF (N07W91) ?	2000/09/30 23:24	523
26	2000/10/12 22:28	SC	-110	-13.2	flux rope	halo CME, C6.7/1F (N01W14), arcade	2000/10/09 22:32	579
27	2000/10/28 09:52	SC	-113	-16.5	flux rope	halo CME, C4.0, cusp, proton-event	2000/10/25 08:45	570
28	2000/11/06 09:48	SC	-159	-11.5	seath+flux rope	halo CME, C3.2/SF (N02W02), arcade	2000/11/03 18:51	662
29	2000/11/10 06:26	SC	-104	-7.7	shock	halo CME, M7.4/3F (N10W77), arcade, proton-event	2000/11/08 22:42	1313
30	2000/11/29 01:00	SG	-130	-11.7	(flux rope)	halo CME, X1.9/2B (N20W23)	2000/11/25 18:33	531

We first investigated the relationship between the transit speeds of the interplanetary disturbances and the minimum values of the  $D_{st}$  indices during the geomagnetic storms. Figure 1 shows a scatter plot of these parameters for all of the storms listed in Table 1 for which solar sources were identified, sorted on the interplanetary drivers. Squares, triangles, and diamonds represent the storms associated mainly with interplanetary magnetic flux ropes, sheath regions (or shocks), and interactions, respectively. According to Fig. 1, a correlation between the speed and the magnitude of geomagnetic storms is not clear. The correlation is weak even though the magnetic storms were associated with sheath regions or interactions. This result is consistent with the result by Cane, Richardson, and St. Cyr (2000) (see their Fig. 4).

### 3 Cause of strong southward IMF

In this section we will discuss the various interplanetary causes of the southward  $B_z$  listed in Table 1, illustrating the categories with examples of the interplanetary data together, with the  $D_{st}$  signatures.

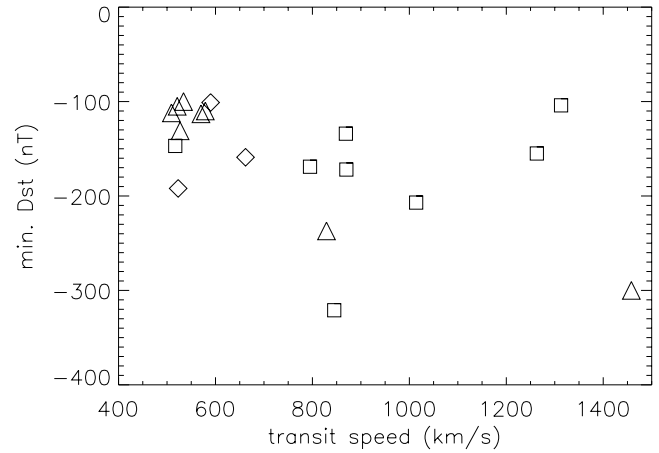
#### 3.1 Corotating Interaction Regions (CIRs)

Geomagnetic storms of numbers 2 and 12 listed in Table 1 were associated with CIRs. The number of geomagnetic storms associated with CIRs was small because the studied period was near the maximum of solar cycle 23. Many geomagnetic storms caused by CIRs are usually observed during the declining phase of solar cycles (Watari, 1997; Watari and Watanabe, 1998).

#### 3.2 Southward IMFs associated with magnetic flux ropes

According to Table 1, the magnetic flux ropes were observed in 21 of 30 geomagnetic storms. And they were the main cause of strong southward IMF in 10 out of 30 geomagnetic storms.

Figure 2 shows  $D_{st}$  index and one-hour-shifted solar wind parameters (magnetic fields, speed, density, temperature,  $\beta$ , and ratio of helium and hydrogen) of storm no. 21 on 15 July 2000. The solar wind parameters were measured at the L1 point, approximately  $1.5 \times 10^6$  km upstream from the Earth. Hence, we plotted the one-hour-shifted values to compare them with the  $D_{st}$  index observed at the Earth. Two vertical solid lines in Fig. 2 indicate the start and end times of the geomagnetic storm. The dashed curve plotted in the temperature panel shows the temperature calculated from the solar wind speed by using the correlation between solar wind speed and proton temperature (Lopez, 1987). The abnormally depressed proton temperature compared with the calculated temperature has often been observed in the case of interplanetary magnetic flux ropes or ejecta (Richardson and Cane, 1993). Low  $\beta$  or higher ratios of helium and hydrogen were other signatures of ejecta (Goldstein, Neugebauer, and Clay, 1998).



**Fig. 1.** A scatter plot of average transit speed of interplanetary disturbances and minimum  $D_{st}$  index of geomagnetic storms (square: magnetic flux rope, triangle: shock, and diamond: interaction).

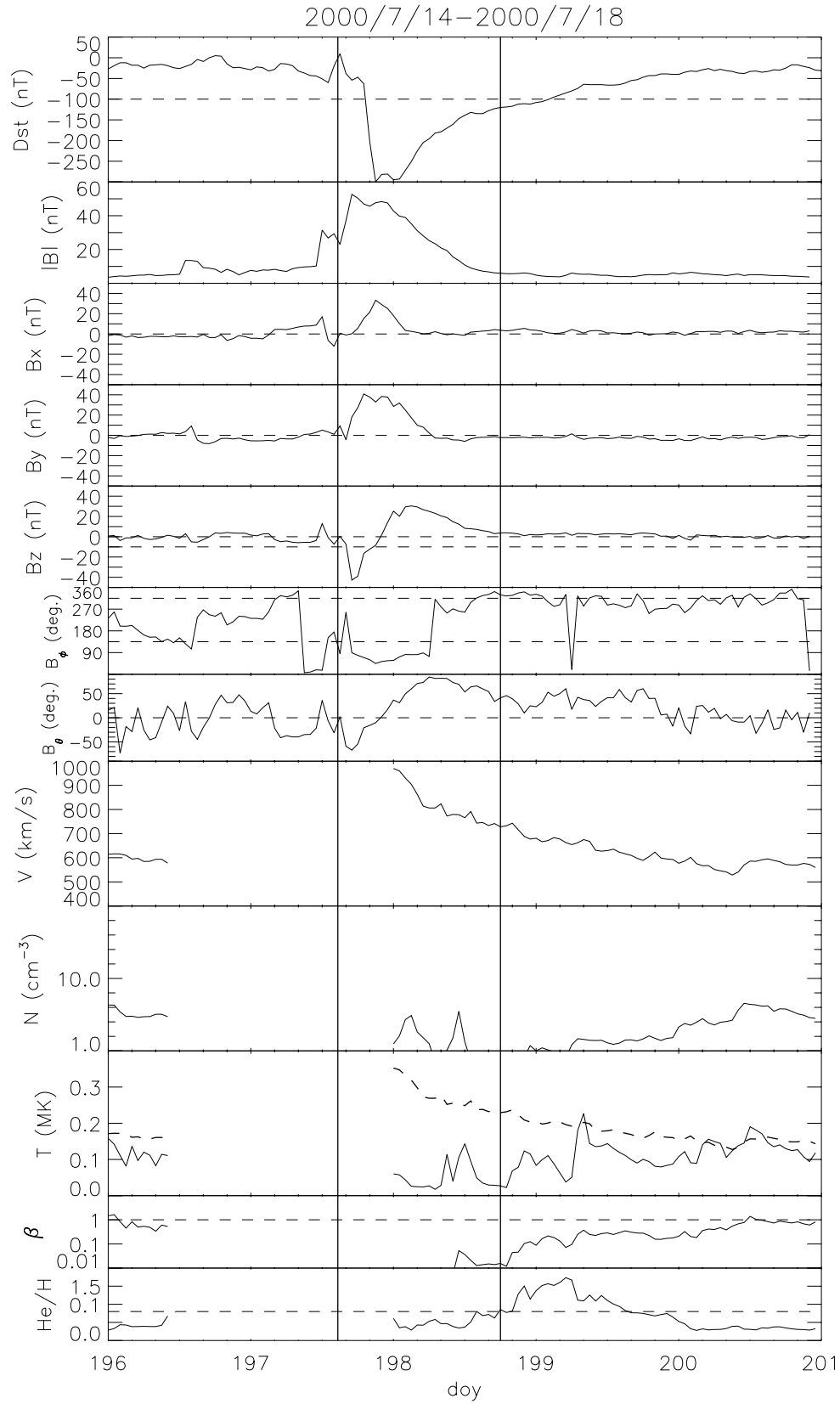
Storm no. 21 is an example of a storm caused by a magnetic flux rope with a strong axial magnetic field (approximately 80 nT) and high speed (more than 1000 km/s). This magnetic flux rope was associated with the halo CME on 14 July 2000 (the so-called “Bastille Day event”) and produced an intense geomagnetic storm. Figure 3 shows a negative image of the soft X-ray solar observation associated with this event. This image was taken by the Yohkoh/Soft X-ray Telescope (SXT). The soft X-ray arcade expanded in the east-west direction. According to the result of a fitting of the cylindrical magnetic flux model (Marubashi, 1997) to the solar wind data, the orientation of the magnetic flux rope was longitude, 34 degrees and latitude, 10 degrees. The projected direction of the magnetic flux rope on the solar surface (the arrow in Fig. 3) is close to the orientation of the observed soft X-ray arcade. This suggests that the orientation of the magnetic flux rope stayed constant during propagation, and there is a possibility to infer southward IMF inside the magnetic flux rope based on the orientation of soft X-ray arcades or on the solar observations. Watari, Watanabe, and Marubashi (2001) noted that orientations of interplanetary magnetic flux ropes correspond well to the orientation of a stretch soft X-ray solar activities or soft X-ray arcades).

#### 3.3 Interaction between a magnetic flux rope and a CIR

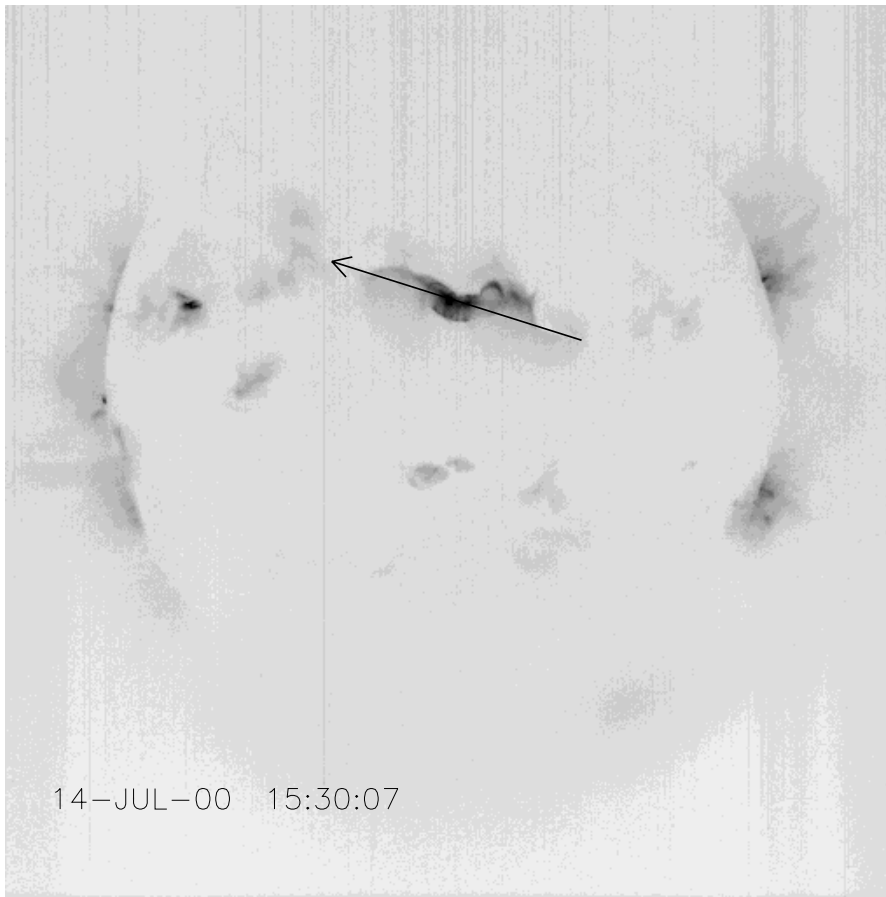
Figure 4 shows the solar wind parameters and  $D_{st}$  index of storm no. 16 on 21 October 1999. According to this figure, southward IMF in the slow-speed ejecta was strengthened by the following high-speed solar wind or a CIR. This kind of event has been reported by Watari and Watanabe (1998).

#### 3.4 Interaction between a magnetic flux rope and a following shock

In storm no. 3 a weak geomagnetic storm associated with a magnetic flux rope already started (Fig. 5). This mag-



**Fig. 2.**  $D_{st}$  index and solar wind parameters of storm no. 21. Two vertical solid lines show the duration of the geomagnetic storm. Horizontal dashed lines stress some distinct values ( $D_{st}$  index: -100 nT,  $B_z$ : -10 nT,  $B_\phi$ : 135 and 315 degrees,  $\beta$ : 1, and  $He/H$ : 0.08).



**Fig. 3.** A negative image of soft X-ray observation of solar activity associated with storm no. 21. This image was taken by the Yohoh/SXT. The arrow shows the projected orientation of the magnetic flux rope observed by the ACE spacecraft.

netic flux rope was associated with a halo CME on 29 April 1998. And then another strong shock arrived at the Earth. The strong southward IMF associated with this shock made the storm more intense. This is an example of a double-step storm (Gonzales et al., 2001).

The strong southward IMF of storm no. 4 was observed behind a magnetic flux rope (Fig. 6). In this case, the flux rope itself did not carry the strong southward component of IMF. A shock that penetrated into the flux rope possibly produced the strong southward IMF.

In storm no. 9, there were two major dips in the  $D_{st}$  index, as shown in Fig. 7. The first dip corresponded to the southward IMF of an ejection in front of a strong shock, and the second dip corresponded to the southward IMF caused by a magnetic flux rope.

Figure 8 shows the plots for storm no. 20 on 23 May 2000. In this case, the southward IMF was formed between two ejecta. Figure 8 indicates that the collision of the ejecta strengthened the southward IMF.

### 3.5 Southward IMF in sheath

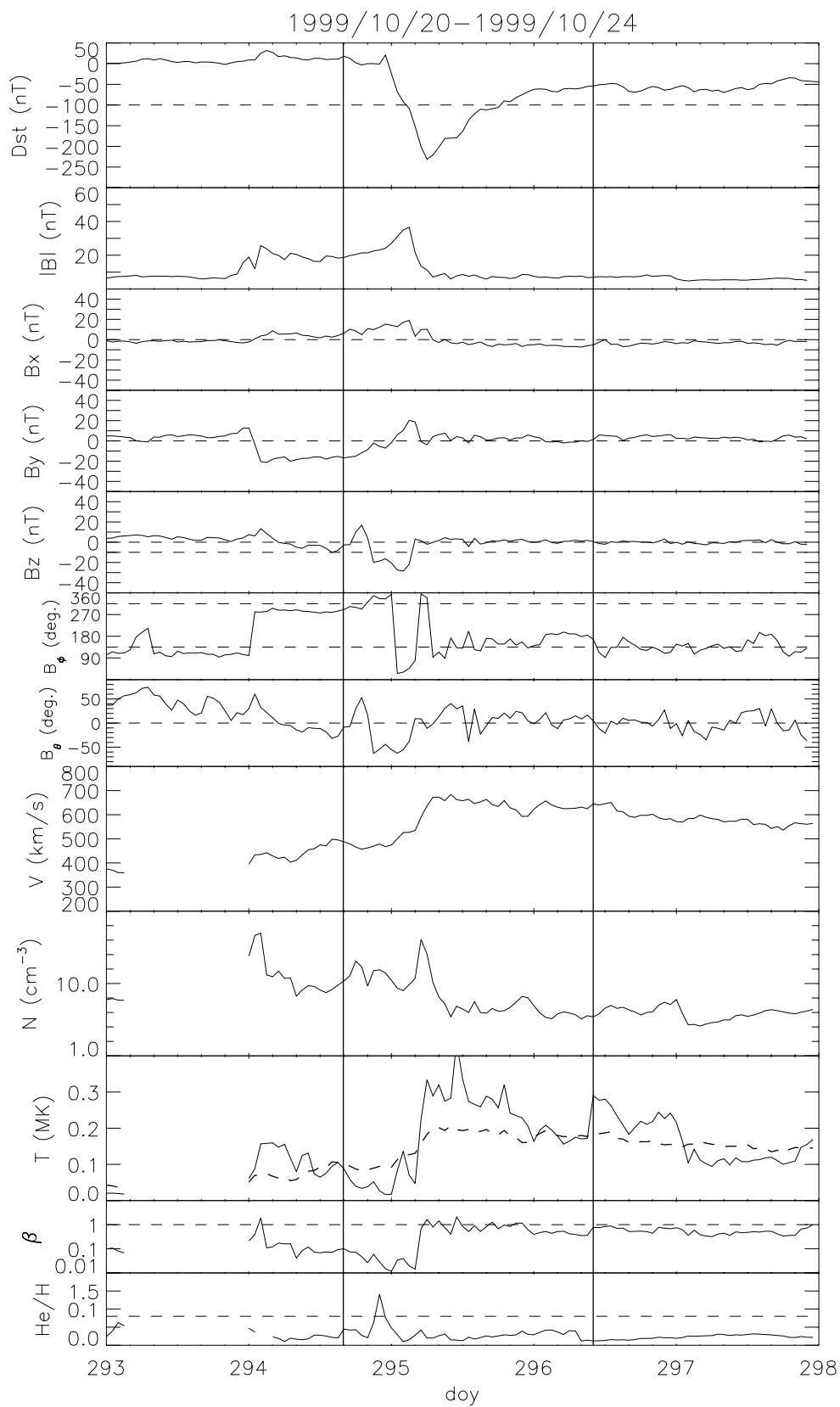
In storm no. 13, strong southward IMF of more than 20 nT was observed on 18 February 1999. This strong southward IMF was formed in the sheath region, as shown in Fig. 9.

This event was associated with the M3.2/SF flare that occurred at S23W41. Figure 10 shows a source surface map produced by the Wilcox Solar Observatory. The solar source of the storm (a circle in Fig. 10) was located in the southern solar hemisphere in the away sector and an upper part of ejecta was expected to pass the Earth. The southward IMF in front of ejecta in the upper panel of Fig. 13 can explain the southward IMF of this event. This example suggests that it is possible to infer the southward IMF expected at 1 AU according to the solar observations.

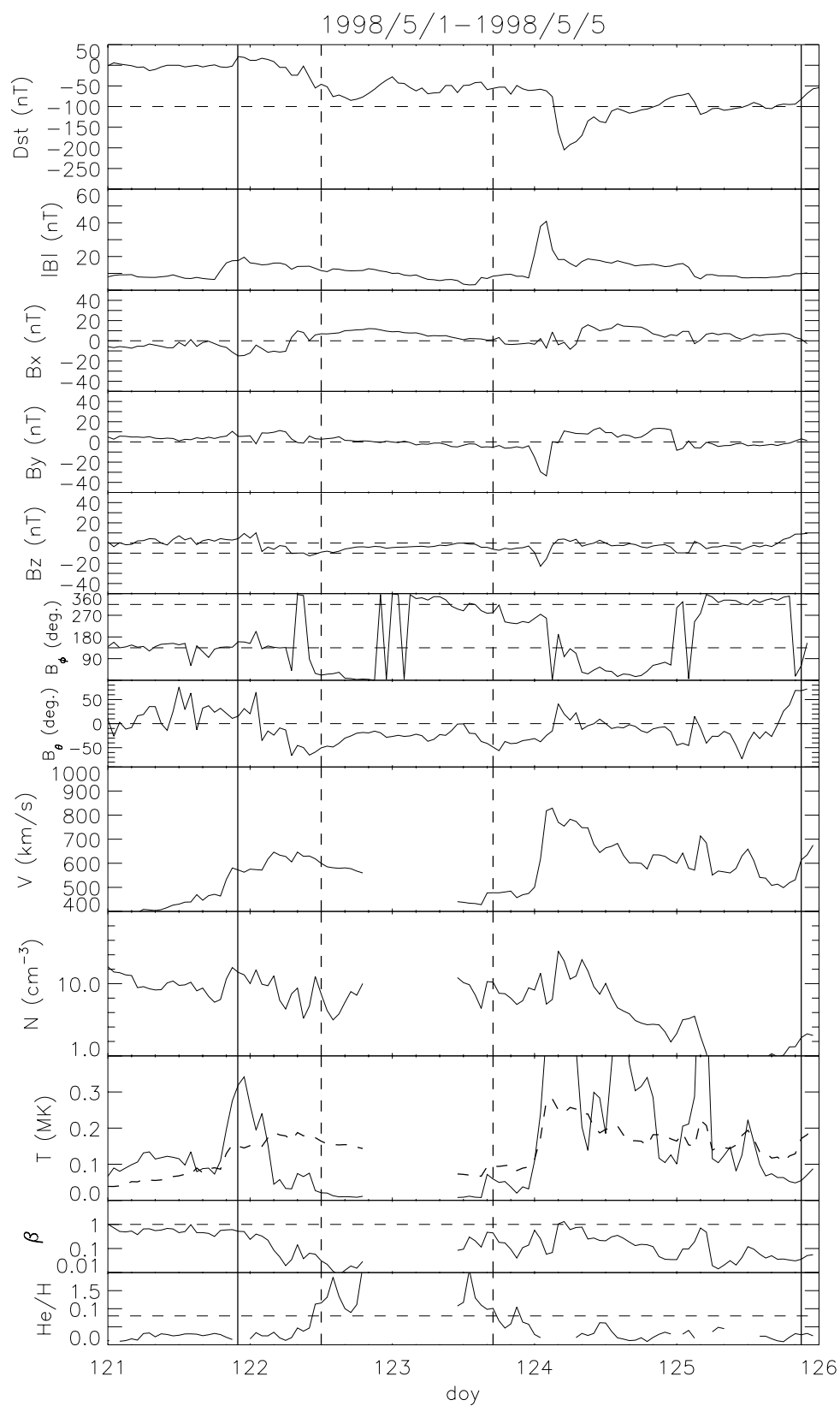
Figure 11 shows the plot for storm no. 24 on 17 September 2000. Two dashed lines show a duration of a magnetic flux rope. A high-speed interplanetary disturbance with a speed of more than 800 km/s formed a sheath region with strong southward IMF, and the geomagnetic storm began at the same time as the arrival of this disturbance. Then there was a magnetic flux rope behind the sheath region. However, this magnetic flux rope did not have strong southward IMF in this case.

### 3.6 Miscellaneous

Figure 12 shows the  $D_{st}$  indices and the solar wind parameters of storm no. 5. The southward IMF continued for approximately twelve hours. Associated with a weak shock,

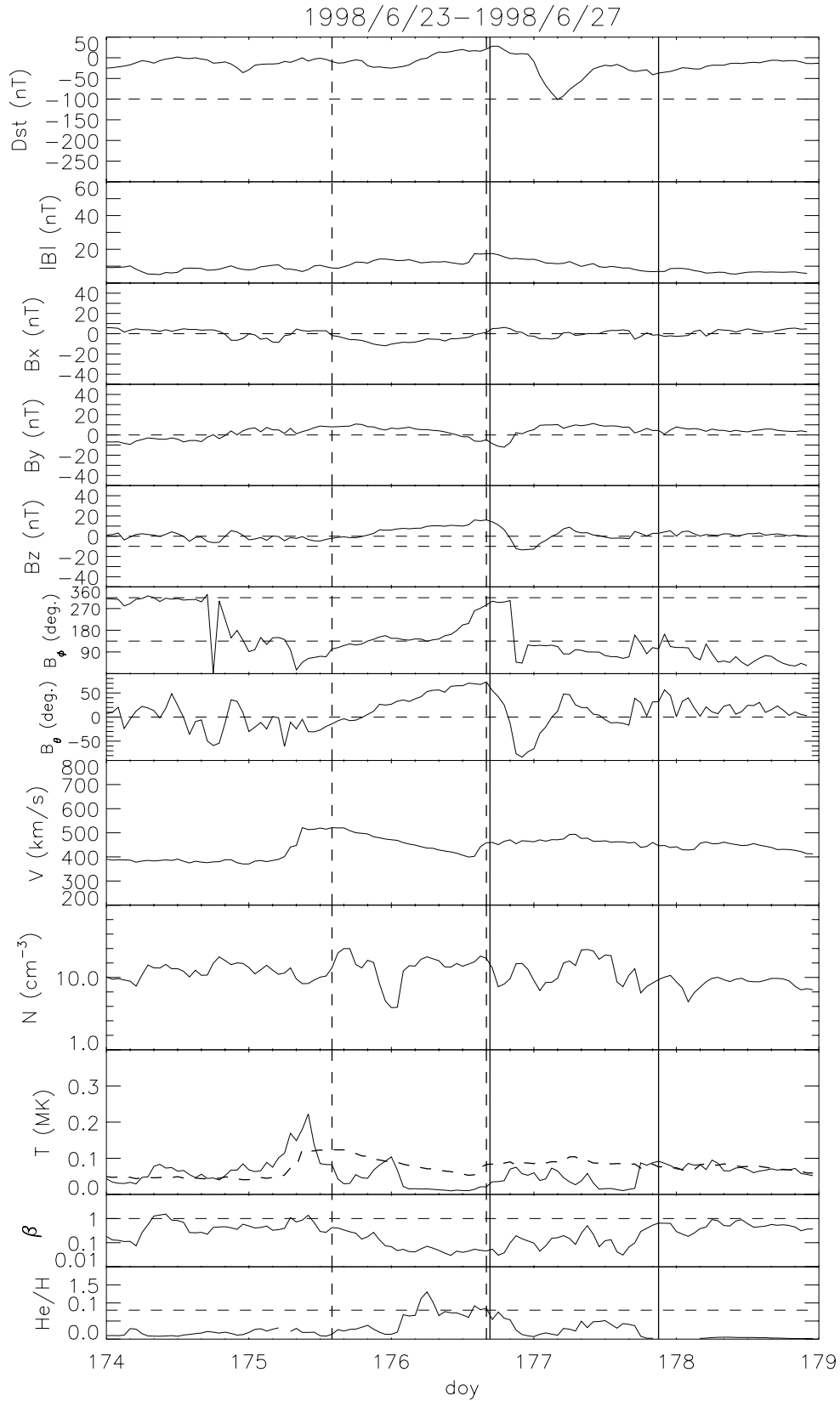


**Fig. 4.**  $D_{st}$  index and solar wind parameters of storm no. 16. Two vertical solid lines show the duration of the geomagnetic storm.

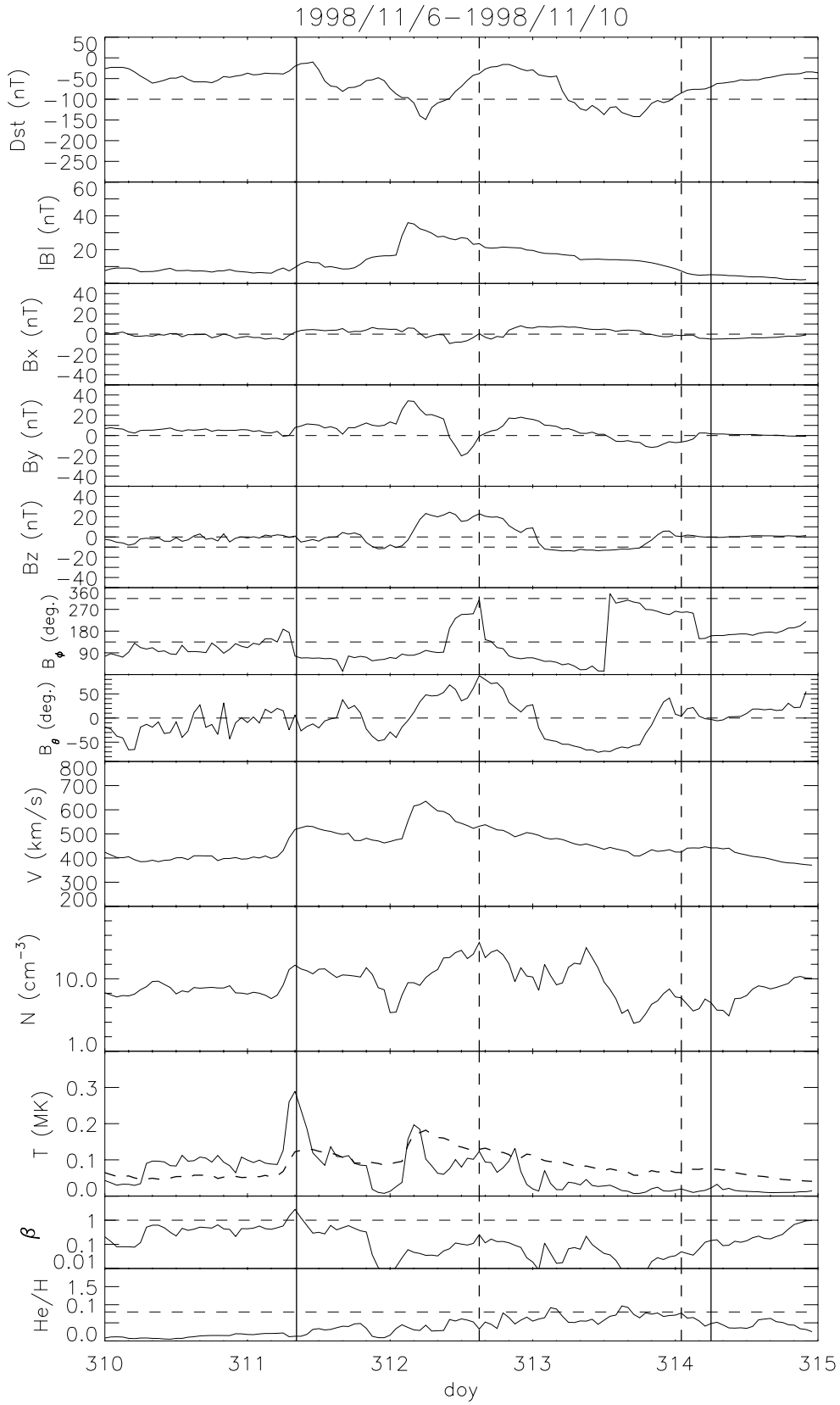


**Fig. 5.**  $D_{st}$  index and solar wind parameters of storm no. 3. Two vertical solid lines show the duration of the geomagnetic storm and two vertical dash lines show the duration of a magnetic flux rope.

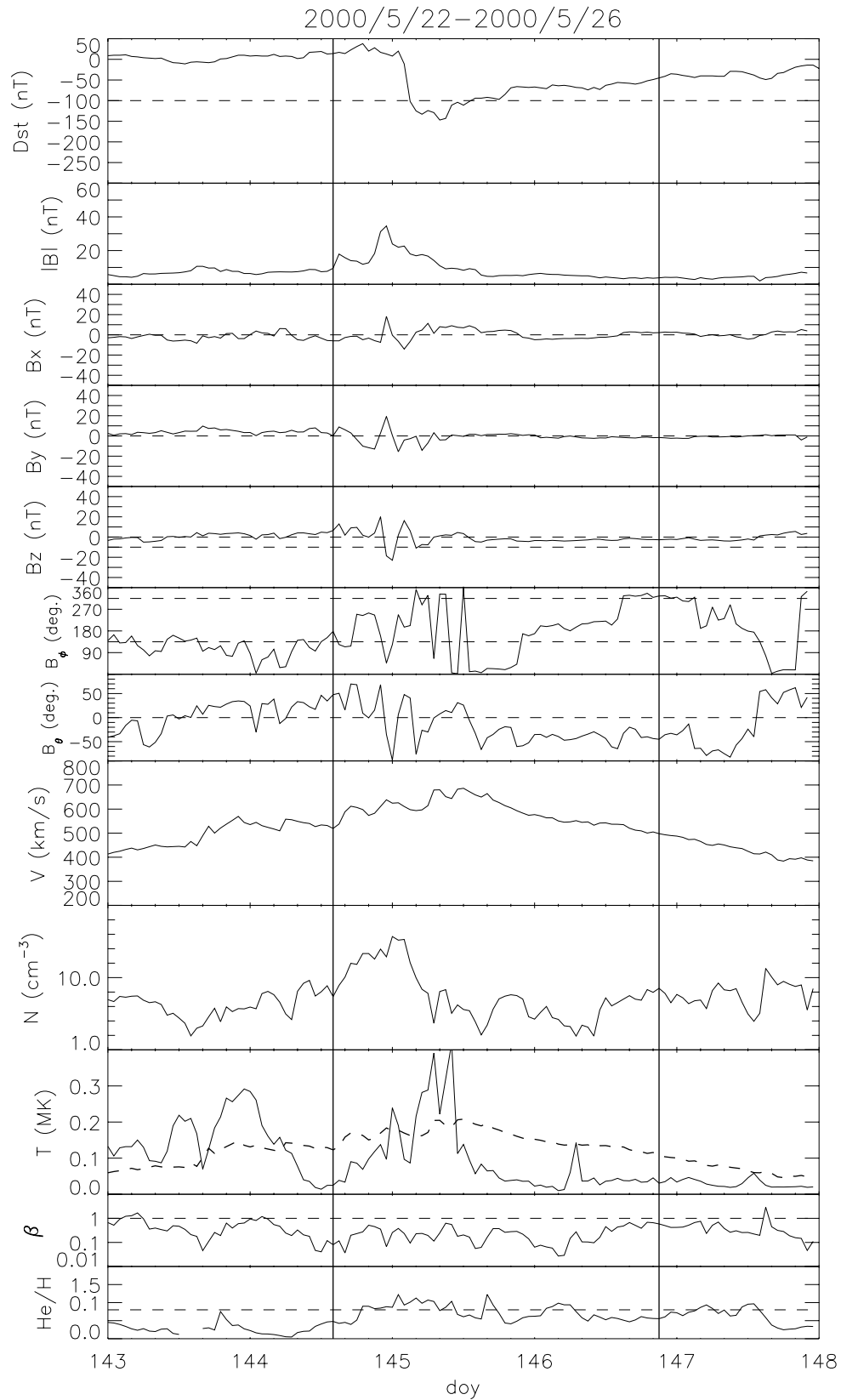




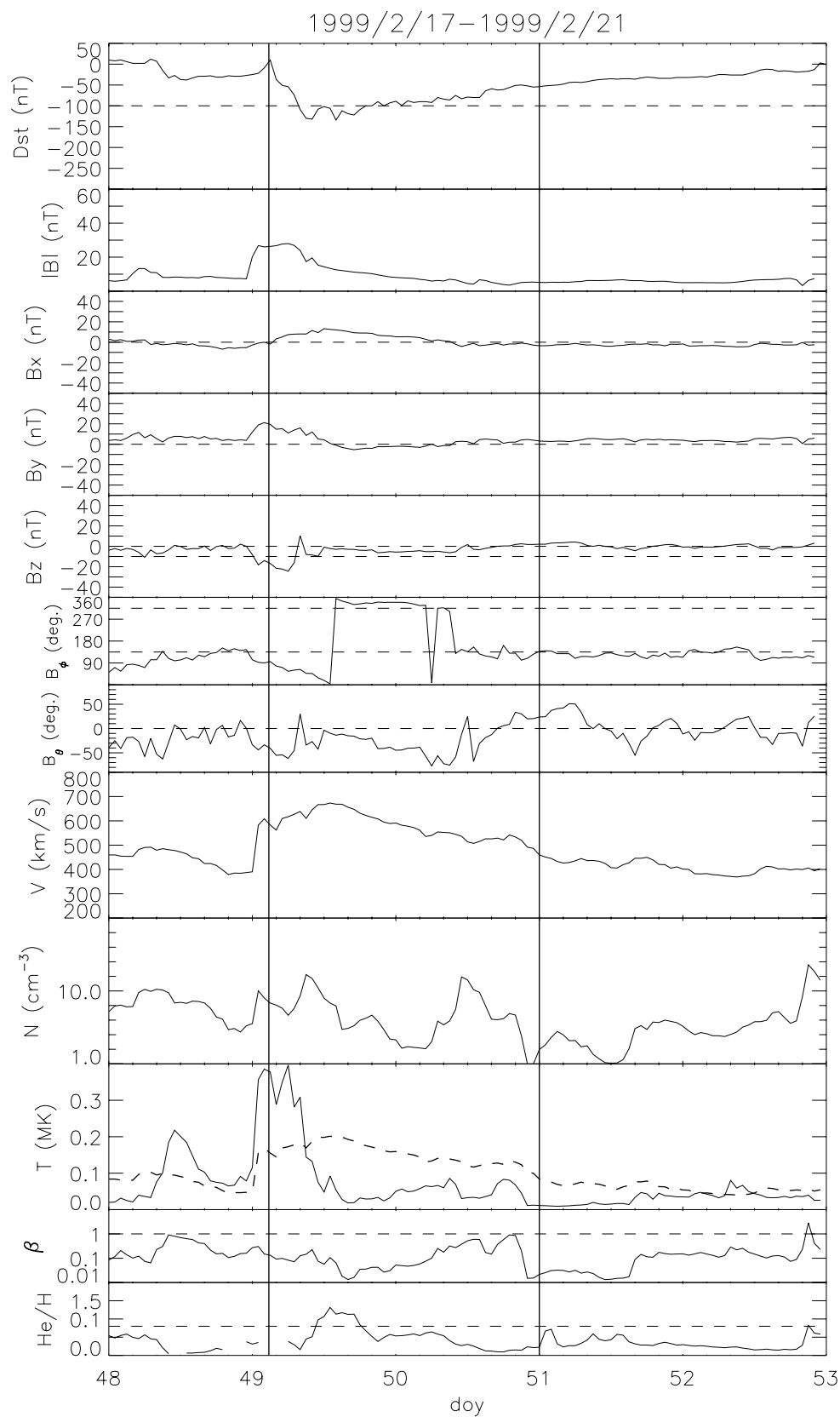
**Fig. 6.**  $D_{st}$  index and solar wind parameters of storm no. 4. Two vertical solid lines show the duration of the geomagnetic storm and two vertical dash lines show the duration of a magnetic flux rope.



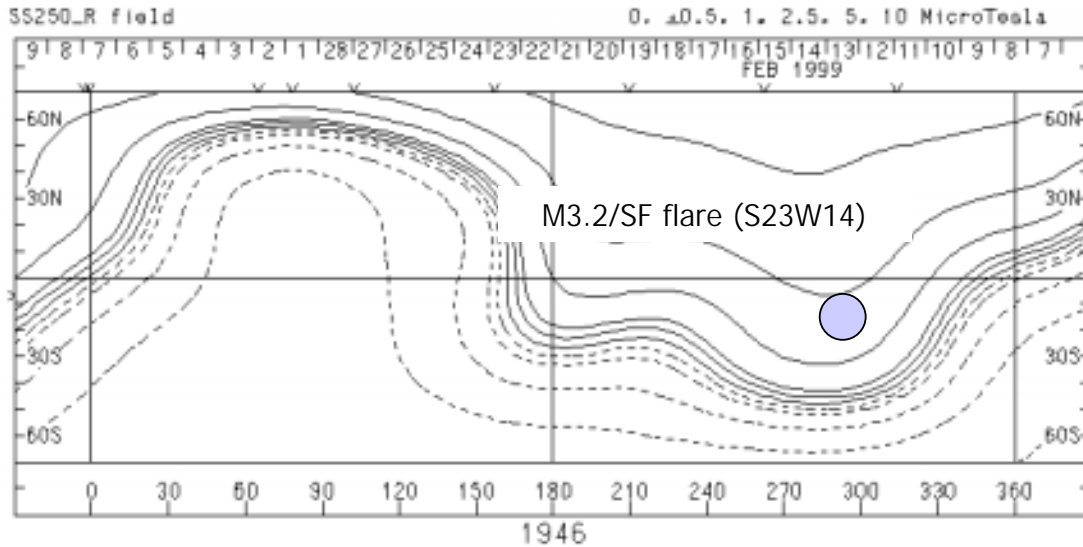
**Fig. 7.**  $D_{st}$  index and solar wind parameters of storm no. 9. Two vertical solid lines show the duration of the geomagnetic storm and two vertical dash lines show the duration of a magnetic flux rope.



**Fig. 8.**  $D_{st}$  index and solar wind parameters of storm no. 20. Two vertical solid lines show the duration of the geomagnetic storm.



**Fig. 9.**  $D_{st}$  index and solar wind parameters of storm no. 13. Two vertical solid lines show the duration of the geomagnetic storm.



**Fig. 10.** A source surface map produced by the Wilcox Solar Observatory. The circle shows the location of a M3.2/SF flare associated with the geomagnetic storm no. 13.

the magnitude of IMF increased to approximately 20 nT. The speed of the solar wind was approximately 400 km/s during this period. In storms numbers 15 and 17, strong southward IMFs were observed in low temperature ejecta. However, causes of these southward IMFs were uncertain.

#### 4 Possibility to infer southward IMF

There are two main causes of the formation of southward IMF associated with transient events. One possible source is a southward component directly ejected from the Sun as interplanetary magnetic flux ropes. Another is a formation of southward IMF by an interaction between an interplanetary disturbance and the background solar wind or between interplanetary disturbances.

It is possible, in some cases, to infer orientation of a magnetic flux rope from solar observations (orientation of solar filaments that disappeared or soft X-ray arcades), by assuming that the orientation of the flux rope remains constant during propagation (see Sect. 3.2, storm no. 21). This means that it is possible to infer southward IMF inside the flux rope.

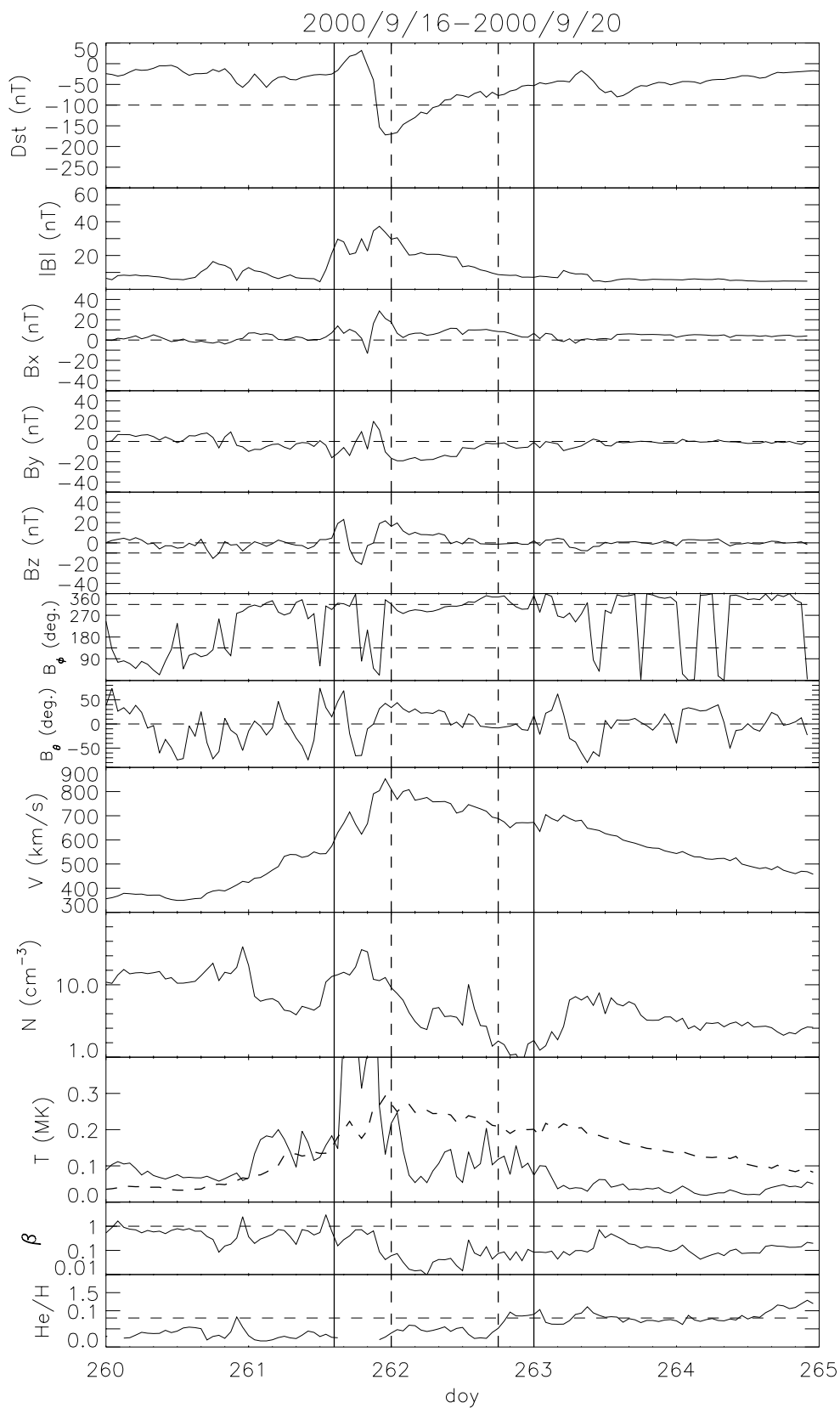
There are four ways to form southward IMF by interaction between ejecta and the IMF of the background solar wind (Fig. 13). In this figure, the ejecta travel from left to right. The southward IMF that forms in front of ejecta is well known (McComas et al; 1989; Wu, Dryer, and Wu, 1996). In contrast, we found the formation of southward IMF in the tail of the ejecta through the interaction with the following CIRs or shocks (see Sects. 3.3 and 3.4). According to Fig. 13, it is possible to infer southward IMF by using the sector of background solar wind if we know which part (e.g. upper part or lower part) of the ejecta passes the Earth. The upper part of the ejecta tends to pass the Earth if the ejecta originates in the southern hemisphere of the Sun. On the other hand, the

lower part of the ejecta tends to pass the Earth if the ejecta originates in the northern hemisphere of the Sun. For example, southward IMF in front of the ejecta observed at 1 AU is formed in the away sector in the upper part of the ejecta and in the towards sector in the lower part of the ejecta (see Sect. 3.5).

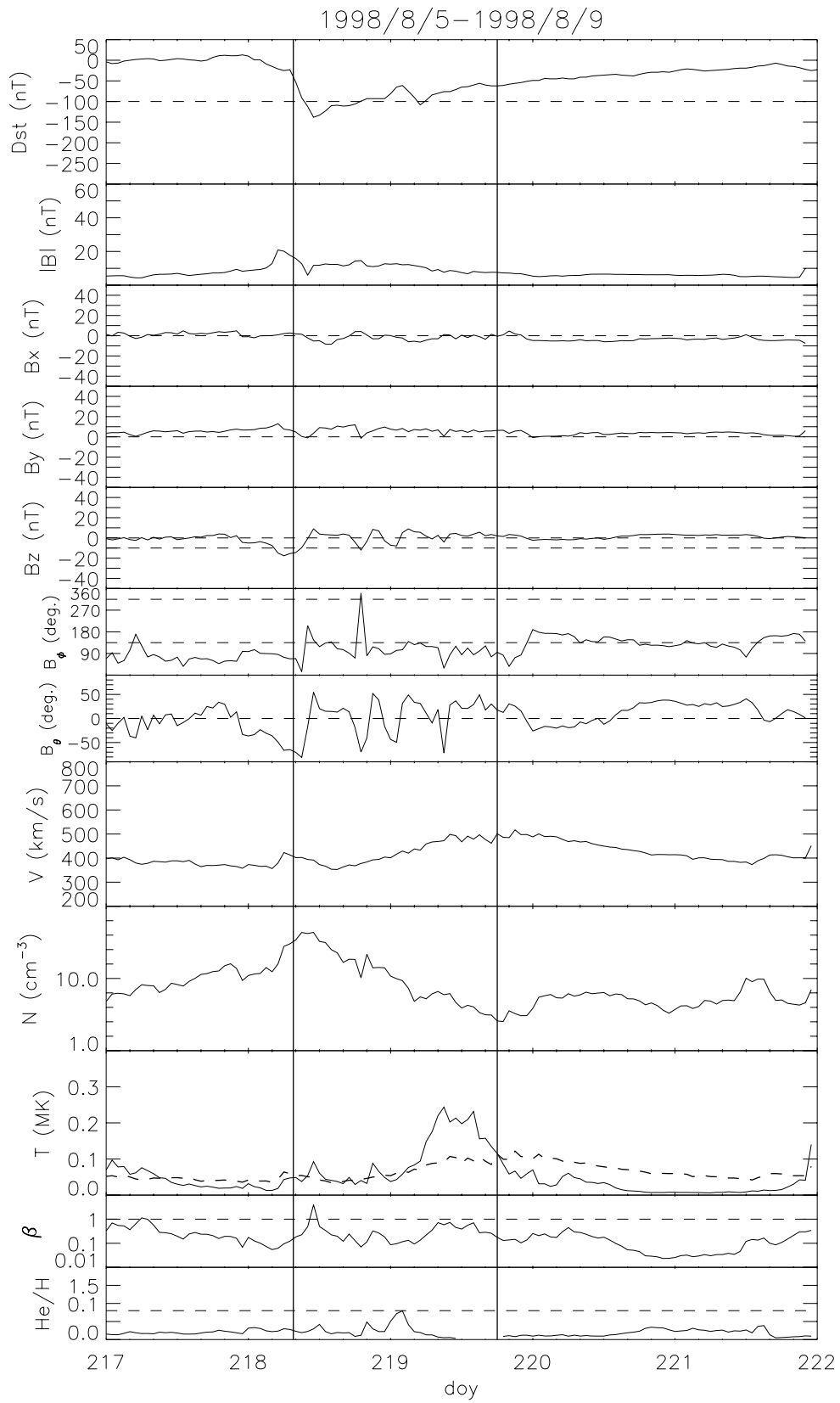
#### 5 Discussion and summary

Southward IMF is an important factor in the intensity and duration of geomagnetic disturbances. One purpose of this study was to find a way to infer south  $B_z$  two- or three-days in advance. It was found that the strong southward IMF is either ejected from the Sun as interplanetary magnetic flux ropes, or it is formed by the interaction between an interplanetary disturbance and background solar wind or interplanetary disturbances. We presented a possibility of inferring southward IMF from solar observations considering those mechanisms.

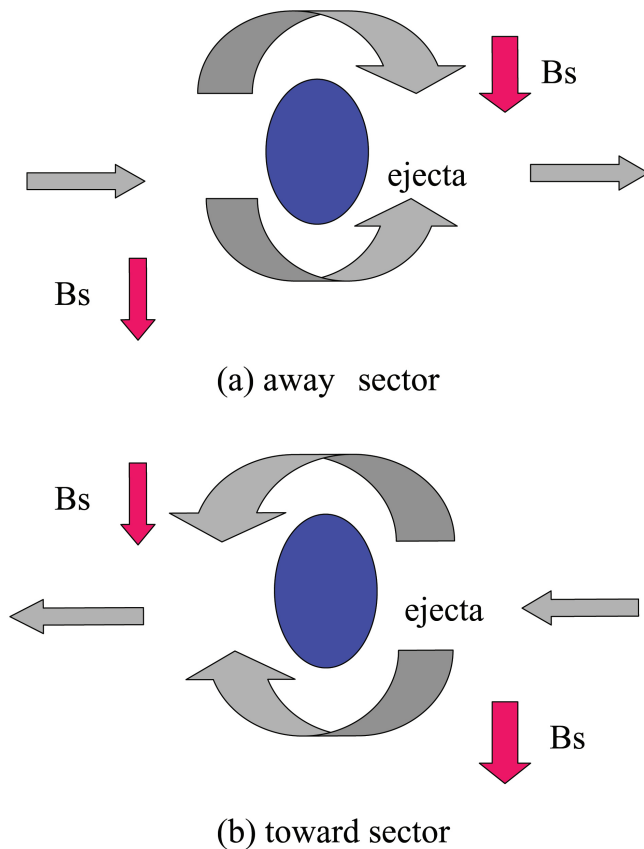
According to our study, intense geomagnetic storms often occurred in association with interplanetary magnetic flux ropes. However, strong southward IMFs were observed around the interplanetary flux ropes rather than inside them. They were formed through the interaction of the flux ropes with the background solar wind. Interactions between interplanetary disturbances, or between the other interplanetary disturbances and the background solar wind also produced strong southward IMFs. This is an important factor in the severity of storms. The interaction causes the observed complex signatures of interplanetary disturbances (see Sect. 3). And the interactions make it more difficult to infer southward IMF at 1 AU from solar observations.



**Fig. 11.**  $D_{ST}$  index and solar wind parameters of storm no. 24. Two vertical solid lines show the duration of the geomagnetic storm and two vertical dash lines show the duration of a magnetic flux rope.



**Fig. 12.**  $D_{ST}$  index and solar wind parameters of storm no. 5. Two vertical solid lines show the duration of the geomagnetic storm.



**Fig. 13.** Formation of southward IMF through the interaction between ejecta and IMF of the background solar wind. The upper panel shows the away sector and the lower panel shows the toward sector. The Sun is on the left-hand side, right is the direction towards the Earth, the horizontal gray arrow indicates the direction of the interplanetary magnetic field, the broad gray arrows indicate the direction of the field draped around the ejecta. The ejecta travel from the left side to the right side in this figure. “Bs” means southward IMF.

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